

C-H Activation

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Isomerization of Olefins Triggered by Rhodium-Catalyzed C-H Bond Activation: Control of Endocyclic β-Hydrogen Elimination**

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Abstract: Five-membered metallacycles are typically reluctant to undergo endocyclic β -hydrogen elimination. The rhodium-catalyzed isomerization of 4-pentenals into 3-pentenals occurs through this elementary step and cleavage of two C-H bonds, as supported by deuterium-labeling studies. The reaction proceeds without decarbonylation, leads to trans olefins exclusively, and tolerates other olefins normally prone to isomerization. Endocyclic β -hydrogen elimination can also be controlled in an enantiodivergent reaction on a racemic mixture.

Five-membered metallacycles are important intermediates of numerous catalytic processes, both in academic laboratories and in large-scale industrial chemistry.[1] As shown in experimental^[2] and theoretical^[3] studies, geometric constraints make these intermediates reluctant to undergo endocyclic β -hydrogen (β -H) elimination, especially in the case of square-planar complexes. However, and although thorough experimental studies are still lacking, theoretical studies suggest that five-membered metallacycles that are not square-planar could undergo β-H elimination more easily.^[4] For example, recent calculations indicate that the rhodiumcatalyzed decarbonylation of 4-pentenals could occur by reversible endocyclic β -H elimination of intermediate **A** (R = H) (Figure 1).^[5] Importantly, substrate decarbonylation is a notorious problem during the hydroacylation of 4-pentenals, especially in the case of α , α -disubstituted aldehydes (R \neq H). [6-9] In contrast, we have found that the rhodium-catalyzed isomerization of 4-pentenal 1 (R=Ph) into 3-pentenal 2 occurs without decarbonylation in 86% yield and in a highly stereoselective fashion. The most efficient catalyst was prepared with ligand L1,[10] whereas those prepared with L2-L5 led to incomplete conversion and decarbonylation. Hence, we assumed this isomerization to be triggered by C-H

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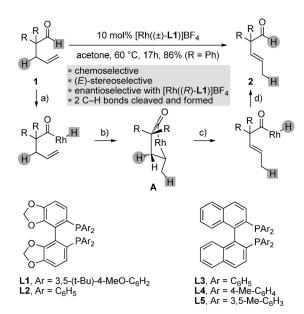


Figure 1. Rhodium-catalyzed isomerization of 4-pentenals into 3-pentenals by endocyclic β-H elimination. Ligands and charges are omitted for clarity: $Rh = [Rh((\pm)-L1)]BF_4$. Yield of isolated product 2.

bond activation (a), and the catalytic cycle would be completed by migratory insertion of the terminal olefin into the rhodium-hydrogen bond thus engendered (b), followed by endocyclic β -H elimination of \mathbf{A} (R = Ph) (c), and final reductive elimination (d).

Herein, we report a thorough study of the reaction depicted in Figure 1, including deuterium-labeling experiments that support the postulated mechanism and the endocyclic β -H elimination of rhodacyclopentanone **A**. Moreover, we also show that the isomerization is chemoselective for olefins that enable the formation of **A**, and that olefins located elsewhere on the substrate remain intact under the reaction conditions, even in the challenging case of sensitive olefins normally prone to facile isomerization in the presence of transition-metal catalysts, [11] including rhodium catalysts. [12] Finally, we describe how the endocyclic β -H elimination of rhodacyclopentanones can be prevented, whereby each enantiomer of the racemic 4-pentenal undergoes a distinct and enantioselective rearrangement when treated with an enantiopure catalyst.

We found that the rhodium-catalyzed isomerization of deuterated substrates 3 and 4 into compounds 5 and 6, respectively, occurred smoothly with complete transfer of the deuterium atom at the positions indicated in Scheme 1. Transient intermediate 3-int was observed in the isomer-



Scheme 1. Deuterium-labeling experiments.

ization of 3 into 5, indicating that step (b) in Figure 1 is reversible.^[13] No intermolecular transfer of the deuterium atom was observed when 3 and 7 were treated with the rhodium catalyst. Instead, 5 and 8 were obtained in 75 % and 93 % yield, respectively. The results of these experiments are in good agreement with the intramolecular addition of an acylhydridorhodium intermediate and the endocyclic β-H elimination envisioned in Figure 1. In contrast to many precedents, neither the reversible intermolecular addition of a metal-hydride species^[14] nor allylic C-H activation^[15] can account for the olefin isomerization examined herein.

We then explored the generality of the isomerization of 4pentenals into 3-pentenals with substrates 9a-9o and observed in all cases the stereoselective formation of 10a-100 as trans isomer only (Scheme 2). This exquisite transselectivity could be explained by the fact that the hydrogen atom highlighted by a gray disc in A (Figure 1) is the only one in this conformer of the five-membered metallacycle which is correctly positioned to develop an agostic interaction with the metal prior to β-H elimination.^[5,16] Hence, placing the *cis* isomer of 10a under the reaction conditions led to only limited isomerization into its *trans* isomer (Z/E = 4.7:1). Monosubstituted 9i could be converted into 10i. albeit with decomposition owing to the instability of both 9i and 10i, even in the absence of catalyst. [17] Remarkably, other olefins, such as the remote terminal olefin in 10k, an allylbenzene (101), an allylic ether (10 m), an allylic amide (10 n), and a 1,4enyne (100), remained unaffected by the active rhodium catalyst, although they are all susceptible to undergo metalcatalyzed isomerization.[11,12]

The 1,1-disubstituted olefin in 11 does not undergo isomerization but sluggish intramolecular hydroacylation, and 12 was isolated in low yield besides the recovered starting material [Eq. (1)]. The isomerization of 1,2-bisubstituted olefin 13 into 14 is reversible and placing either 13 or 14

Scheme 2. Scope of the chemo- and stereoselective isomerization of 4pentenals into (E)-3-pentenals. All of the yields are given for isolated products as the average of two experiments; see the Supporting Information. [a] Yield determined by ¹H NMR spectroscopy in $[D_6]$ acetone in the presence of an internal standard. [b] Room temperature. TBS = tert-butyldimethylsilyl. Ts = p-tolylsulfonyl.

under the reaction condition leads to the same 13/14 ratio [Eq. (2)], although the reaction of 14 led to traces of decarbonylated olefins as well. In view of the inertness of

PhCHO 20 mol% [Rh((±)-L1)]BF₄ PhCHO acetone, 60 °C, 17h
$$13/14 = 60:40$$
 14 Et

11 and the notoriously low yields of formation of α,α disubstituted cyclopentanones in hydroacylation reactions, [7,8] we were surprised to observe that the treatment of 15 with the active rhodium catalyst led to the isolation of 17 in 97 % yield as a single diastereomer, as confirmed by NOESY (Scheme 3). Importantly, compound 16 could be isolated as transient intermediate in the formation of racemic 17 at room temperature: at 50% conversion, 16 accounts for 45% of the mass balance. The strikingly different reactivity of 11 and 16 suggests that the 1,2-disubstituted olefin of 16 facilitates the observed intramolecular hydroacylation. This tandem reaction could also be observed with other substrates (see the Supporting Information).

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Scheme 3. Olefin isomerization followed by intramolecular hydroacylation. a) $[Rh((\pm)-L1)]BF_4$ (10 mol%), acetone, 60°C, 17 h. The **16/17** ratios were obtained for the reaction performed at room temperature.

Further exploration of the scope of the reaction revealed that the isomerization of 4-pentenals into 3-pentenals can be prevented in favor of intramolecular hydroacylation. Thus, placing (\pm)-18 under our optimized conditions led to cyclopentanone (\pm)-19 and trace amounts of cyclobutanone (\pm)-20 as single diastereomer [Eq. (3)]. Presumably, coordination by the methoxy group can prevent endocyclic β -H elimination by blocking a coordination site on the metal.

Considering the rare examples of simple kinetic resolution in the rhodium-catalyzed hydroacylation of 4-pentenals into cyclopentanones, [7b,c,18] and parallel kinetic resolution of 4-alkynals, [19] we were curious to evaluate the effect of an enantiopure catalyst on the reaction of (\pm) -18. Using (R)-L1 as ligand, we obtained (R)-19 besides a mixture of (S,S)-20 and (S)-21 (Figure 2). Reduction of the latter compounds

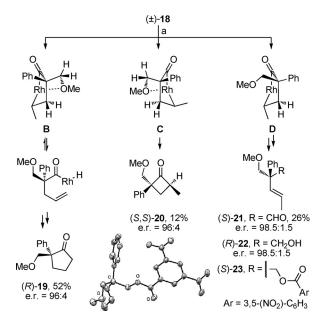


Figure 2. Divergent reaction on a racemic mixture. a) $[Rh((R)-L1)]BF_4$ (10 mol%), acetone, room temperature, 17 h. Enantiomeric ratios (e.r.) of (R)-19 and (R)-22 were determined by chiral-phase HPLC. Ligands and charges are omitted for clarity: $Rh = [Rh(R)-L1)]BF_4$. Ellipsoids are set at 50% probability in the ORTEP of (S)-23.

enabled the separation of (R)-22 and the determination of its enantiomeric purity, which is assumed to be the same for (S)-**21**. The absolute stereochemistry of (R)-19 was assigned by comparison with similar compounds, [20] and X-ray crystallography of ester (S)-23 confirmed the configuration of (S)-21. [21] The absolute stereochemistry and enantiomeric purity of (S,S)-20 were deduced by considering the mass balance and the enantiomeric ratios of the other products obtained in this reaction after full conversion, using the mathematical treatment proposed by Horeau. [22] Significantly, we observed that 19 is formed more quickly than 20 and 21: at 10% conversion, 19 accounts for more than 8% of the mass balance whereas 20 and 21 account less than 2% together. Accordingly, the behavior of (\pm) -18 in the presence of an enantiopure catalyst is best described as a divergent reaction on a racemic mixture (RRM), [23] whereby each enantiomer follows predominantly a distinct reaction pathway, (R)-18 leading to (R)-19, and (S)-18 leading to (S,S)-20 and (S)-21. In **B**, coordination of the metal by the oxygen atom lone pair of the methoxy group would prevent endocyclic β-H elimination, and B would instead undergo exocyclic β-H elimination and thereby revert to an acylhydridorhodium intermediate, which eventually would lead to (R)-19 by intramolecular hydroacylation. In \mathbb{C} , similar coordination by the methoxy group and the release of the steric repulsion between the pseudo-axial phenyl and methyl groups after reductive elimination would promote the formation of (S,S)-20. In **D**, the coordination site on the metal necessary to the endocyclic β-H elimination would remain available and this intermediate would eventually lead to (S)-21. To the best of our knowledge, the enantioselective reaction depicted in Figure 2 is the first example of a divergent RRM of 4-pentenals. Although the details of the interaction of (R)-L1 with the substrates are not known, a preliminary investigation indicated that a simple kinetic resolution of (\pm) -**16** with $[Rh((R)-L1)]BF_4$ (10 mol %) at room temperature led to the isolation of (-)-17 in 50% yield (e.r. = 98:2) whilst (+)-16 was recovered in 40% yield (e.r. = 99:1).

In conclusion, we have identified several factors which control the behavior of a key five-membered metallacycle intermediate in the isomerization of 4-pentenals into 3-pentenals in terms of chemo- and stereoselectivity. Endocyclic β -H elimination of this intermediate enables the stereoselective formation of a *trans* olefin with exquisite control. Alternatively, this elementary step can be prevented by a coordinating group, in which case it is possible to observe a specific reaction for each enantiomer of the racemic 4-pentenal in the presence of an enantiopure catalyst.

Keywords: C–H activation \cdot isomerization \cdot metallacycles \cdot rhodium \cdot β -H elimination

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